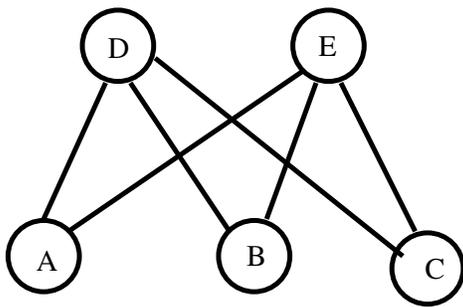


# Graphs

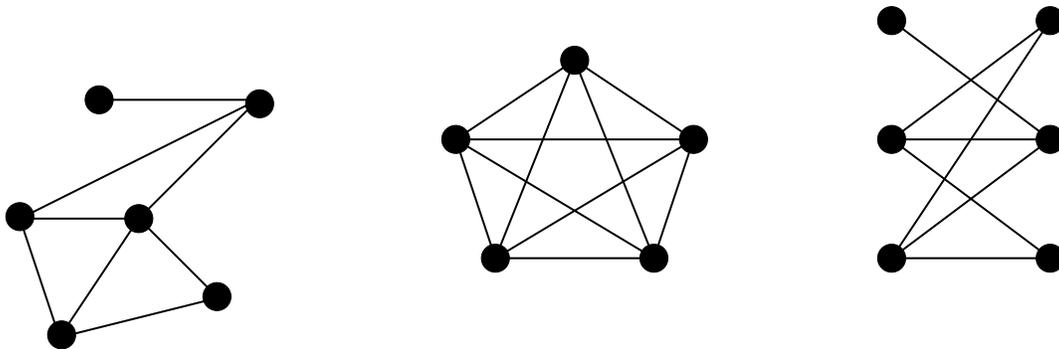
- A (simple) graph  $G = (V, E)$  consists of
  - $V$ , a nonempty set of *vertices* and
  - $E$ , a set of *unordered* pairs of distinct vertices called *edges*.

## Examples



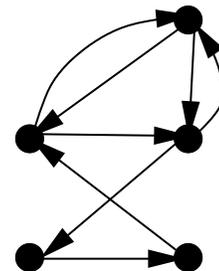
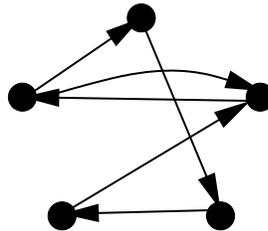
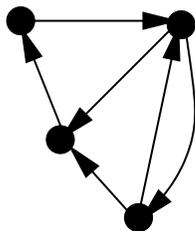
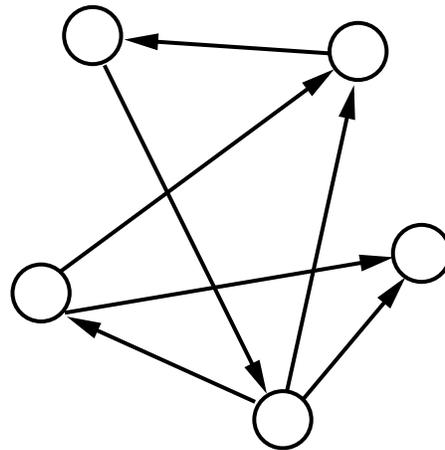
$$V = \{A, B, C, D, E\}$$

$$E = \{ (A,D), (A,E), (B,D), (B,E), (C,D), (C,E) \}$$



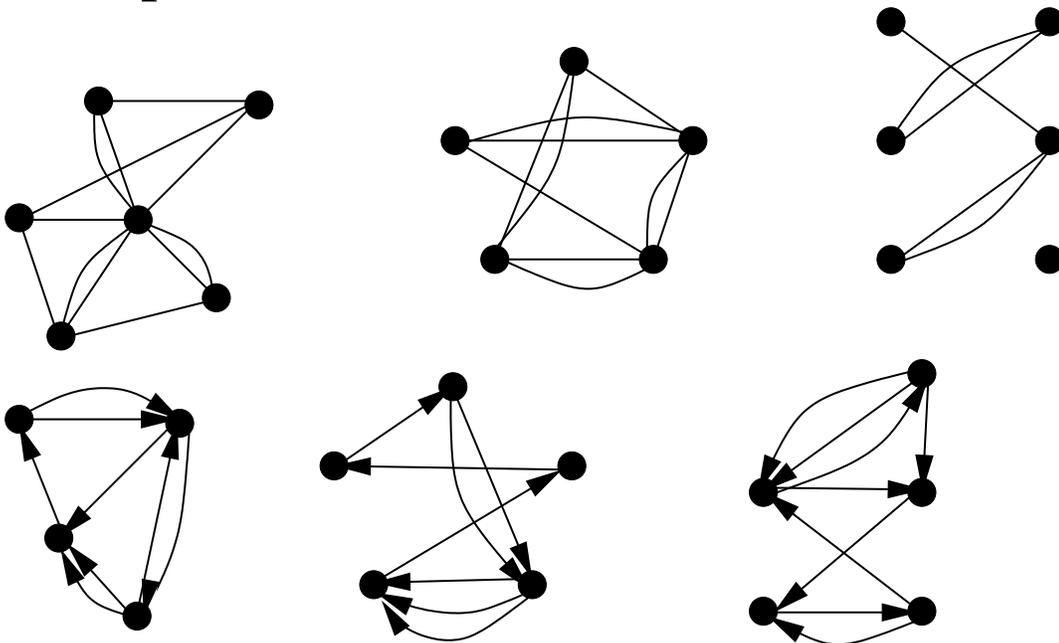
## Directed Graphs

- A **directed graph** (or **digraph**)  $G = (V, E)$  consists of
  - $V$ , a nonempty set of *vertices* and
  - $E$ , a set of *ordered* pairs of distinct vertices called *edges*.
- **Examples**



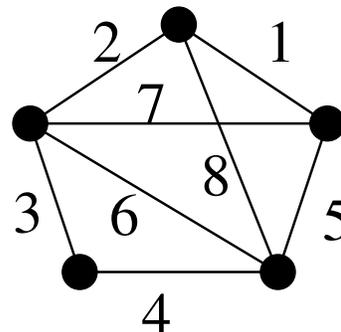
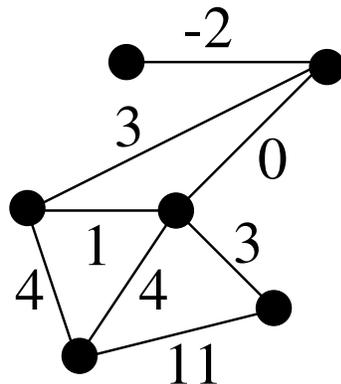
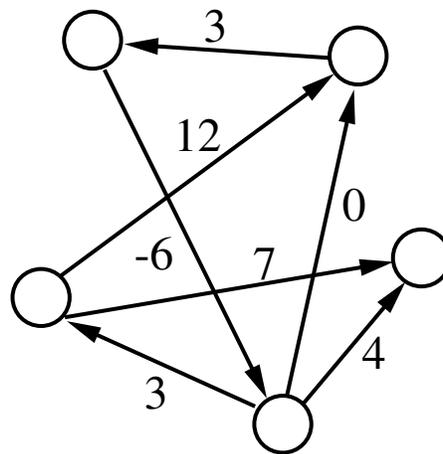
## Multigraphs

- A **multigraph (directed multigraph)**  $G = (V, E)$  consists of
  - $V$ , a set of vertices,
  - $E$ , a set of edges, and
  - a function  $f$  from  $E$  to  $\{\{u, v\} : u \neq v \in V\}$   
(function  $f$  from  $E$  to  $\{(u, v) : u \neq v \in V\}$ .)
- Two edges  $e_1$  and  $e_2$  with  $f(e_1) = f(e_2)$  are called *multiple edges*.
- Put simply, a **multigraph**  $G = (V, E)$  is a graph in which multiple edges are allowed.
- **Examples**



## Weighted Graphs

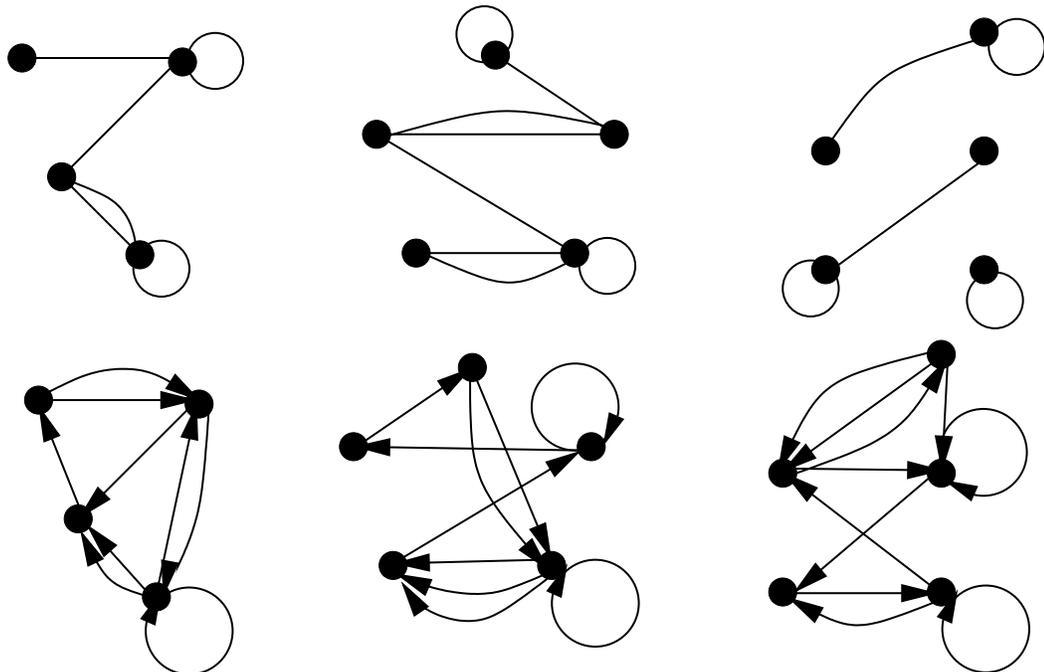
- A **weighted graph** is a graph (or digraph) with the additional property that each edge  $e$  has associated with it a real number  $w(e)$  called its *weight*.
- A weighted digraph is often called a **network**.
- **Examples**



## Pseudographs

- A **pseudograph**  $G = (V, E)$  consists of
  - $V$ , a set of vertices,
  - $E$  a set of edges, and
  - a function  $f$  from  $E$  to  $\{\{u, v\} : u, v \in V\}$ .
- **Pseudo-multigraphs** are defined similarly.
- Put another way, a *pseudograph* is a graph in which we allow *loops*, that is, edges from a vertex to itself.

## Examples



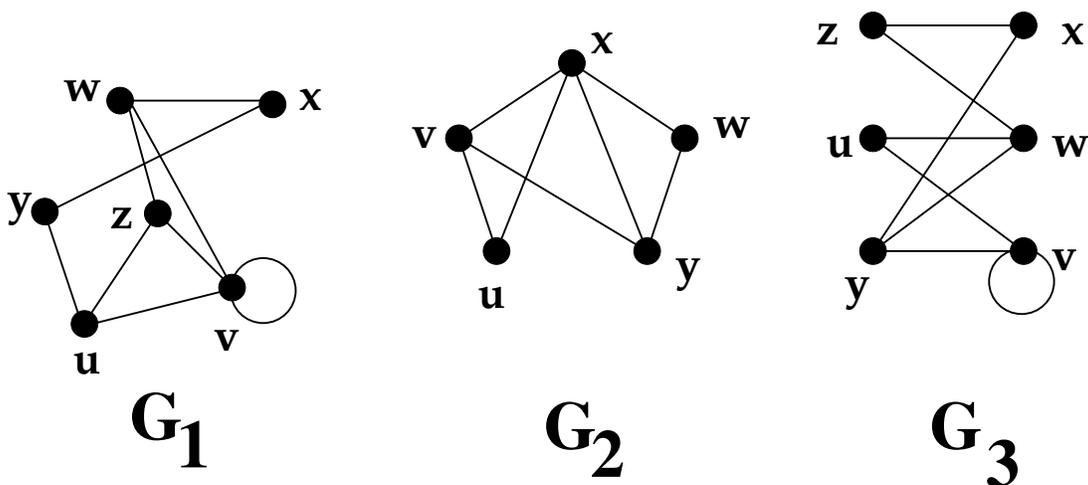
## Graph Definitions Summary

- There are several ways to categorize graphs:
  - Directed or undirected edges.
  - Weighted or unweighted edges.
  - Allow multiple edges or not.
  - Allow loops or not.
- Unless specified, you can usually assume a graph does not allow multiple edges and loops. These aren't that common.
- For clarity, if a graph is not specified as weighted or directed, assume it isn't.
- The most common graphs we'll use are graphs, digraphs, weighted graphs, and networks.
- When writing graph algorithms, it is important to know what characteristics the graphs have. For instance, if a graph might have loops, the algorithm should be able to handle it.

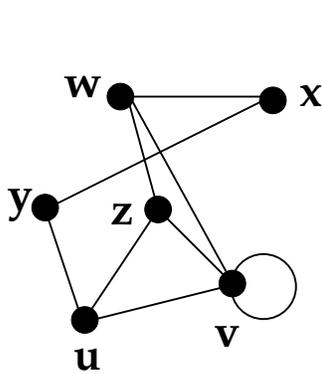
## Graph Terminology

Let  $u$  and  $v$  be vertices, and let  $e = \{u, v\}$  be an edge in an undirected graph  $G$ .

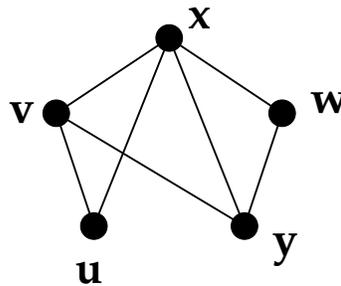
- The vertices  $u$  and  $v$  are said to be **adjacent**
- The edge  $e$  is said to **incident with**  $u$  and  $v$ .
- The edge  $e$  is said to **connect**  $u$  and  $v$ .
- The vertices  $u$  and  $v$  are called the **endpoints** of the edge  $e$ .
- The **degree** of a vertex, denoted  $deg(v)$ , in an undirected graph is the number of edges incident with it (where loops are counted twice).



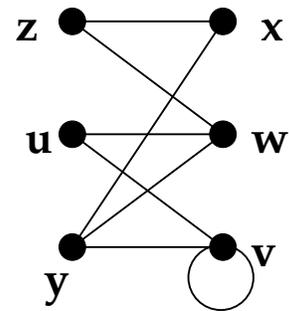
## Examples



**$G_1$**



**$G_2$**

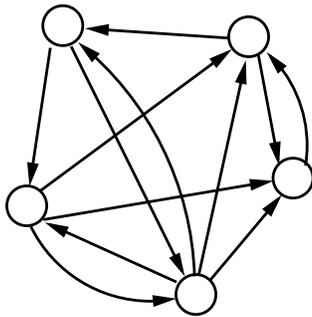


**$G_3$**

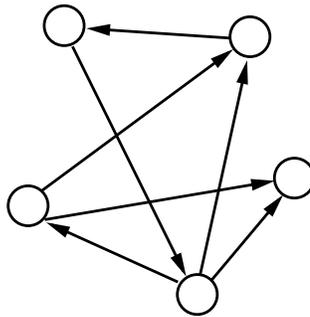
$G_1$	$G_2$	$G_3$
$\text{deg}(u)=3$	$\text{deg}(u)=2$	$\text{deg}(u)=2$
$\text{deg}(v)=5$	$\text{deg}(v)=3$	$\text{deg}(v)=4$
$\text{deg}(w)=3$	$\text{deg}(w)=2$	$\text{deg}(w)=3$
$\text{deg}(x)=2$	$\text{deg}(x)=4$	$\text{deg}(x)=2$
$\text{deg}(y)=2$	$\text{deg}(y)=3$	$\text{deg}(y)=3$
$\text{deg}(z)=3$		$\text{deg}(z)=2$

## More Graph Terminology

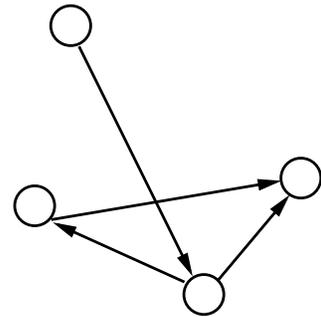
- A **subgraph** of a graph  $G = (V, E)$  is a graph  $G' = (V', E')$  such that  $V' \subset V$  and  $E' \subset E$ .



H<sub>1</sub>

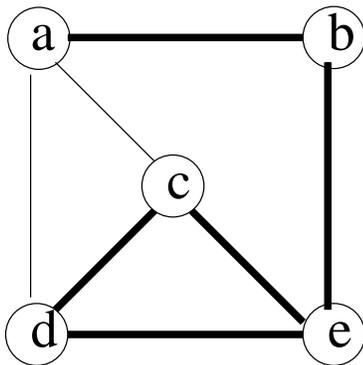


H<sub>2</sub>

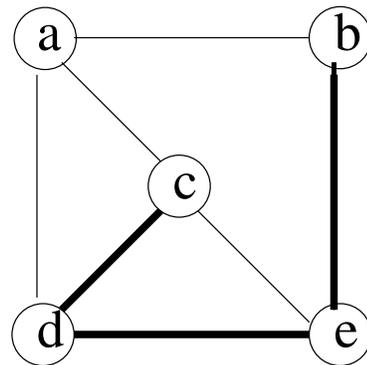


H<sub>3</sub>

- A **path** is a sequence of vertices  $v_1, v_2, \dots, v_k$  such that consecutive vertices  $v_i$  and  $v_{i+1}$  are adjacent



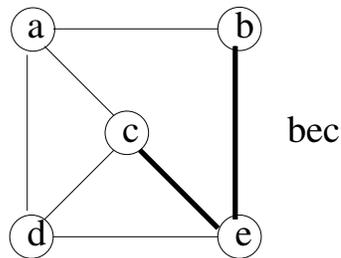
abcde



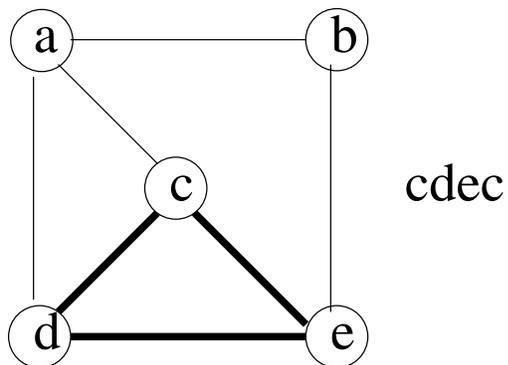
bedc

## More Graph Terminology

- A **simple path** is a path with no repeated vertices.

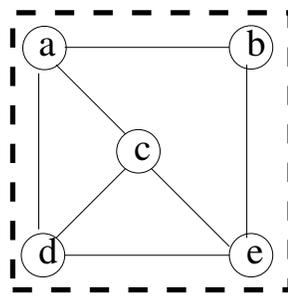


- A **cycle** is a simple path whose last vertex is the same as the first vertex.

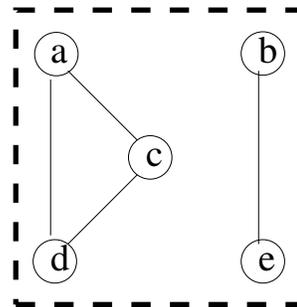


## More Graph Terminology

- A graph is called **connected** if there is a path between every pair of distinct vertices.

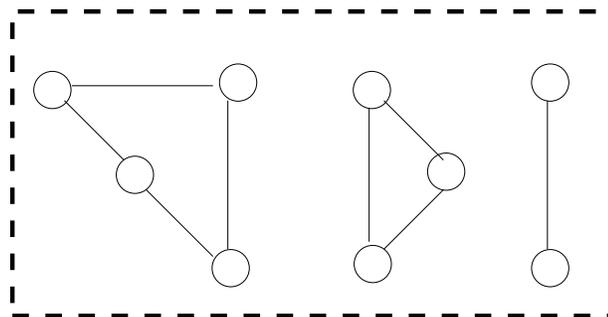


connected



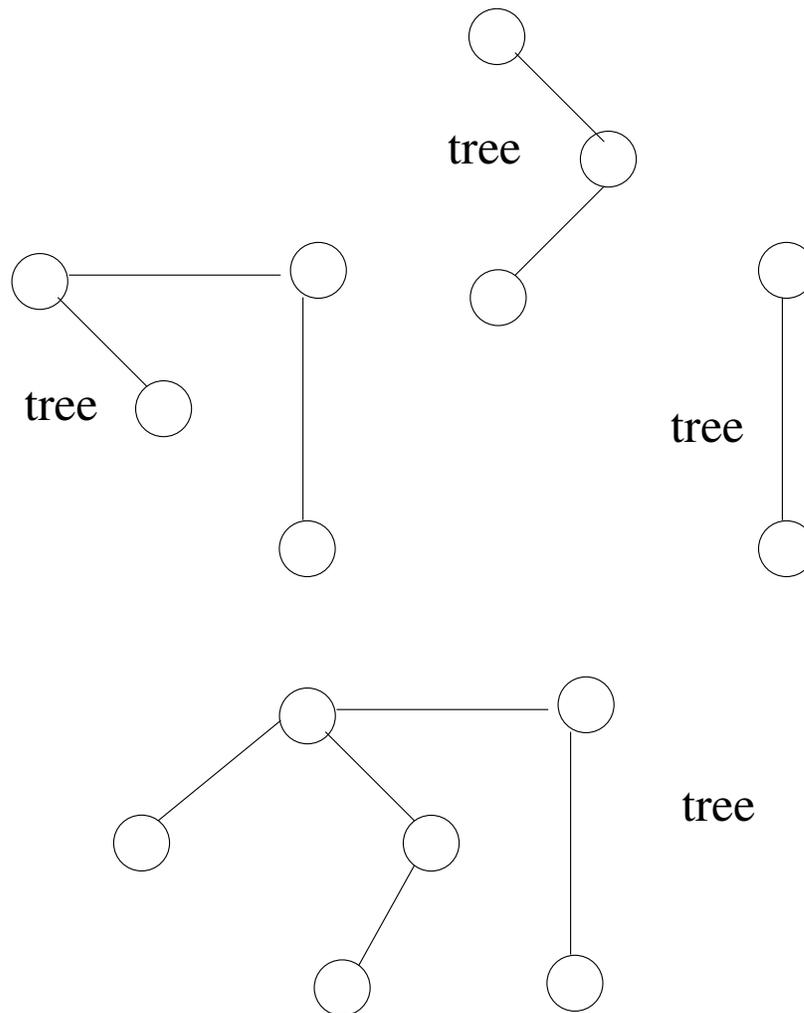
not connected

- A **connected component** of a graph is a maximal connected subgraph. e.g. the graph below has 3 connected components.



## Trees

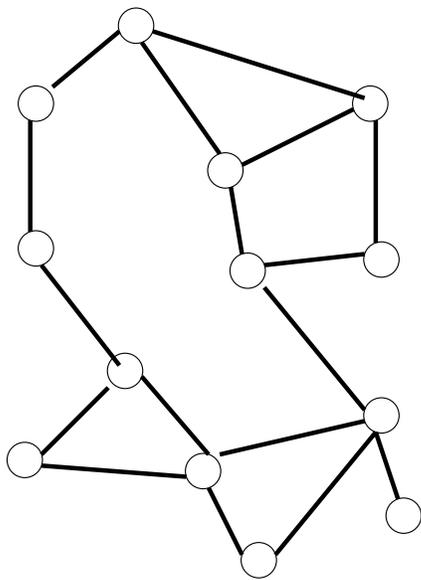
- A **tree** (or **unrooted tree**) is a connected acyclic graph. That is, a graph with no cycles.
- A **forest** is a collection of trees.



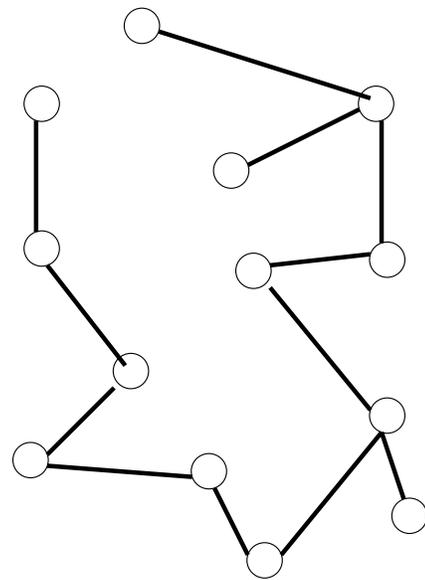
- These trees are not to be confused with *rooted trees*, which we will see later.

## Spanning Tree

- A **spanning tree** of  $G$  is a subgraph which is a tree and contains all of the vertices of  $G$ .



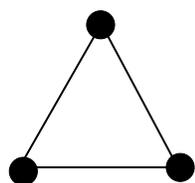
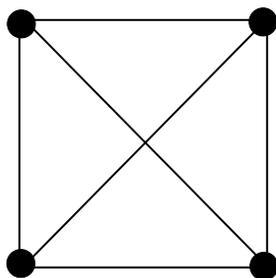
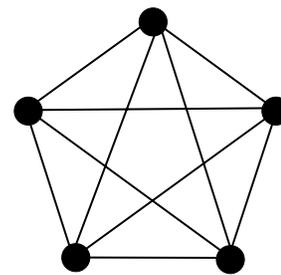
$G$



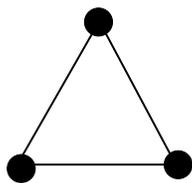
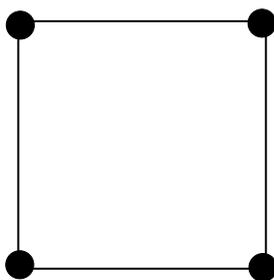
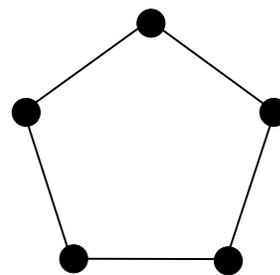
spanning tree of  $G$

## Some Special Graphs

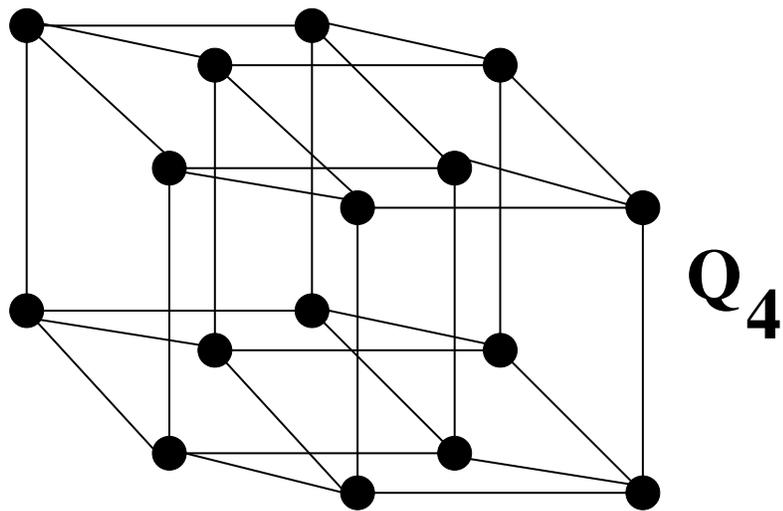
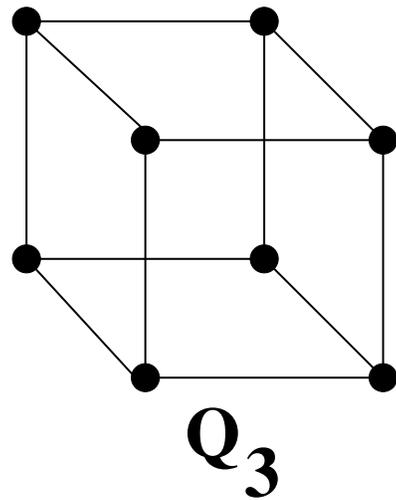
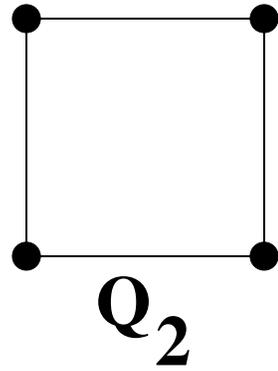
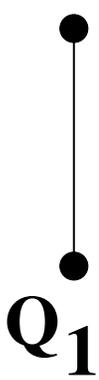
- $K_n$ : The **complete graph** on  $n$  vertices.

 $K_2$  $K_3$  $K_4$  $K_5$ 

- $C_n$ : The **cycle** of length  $n$ .

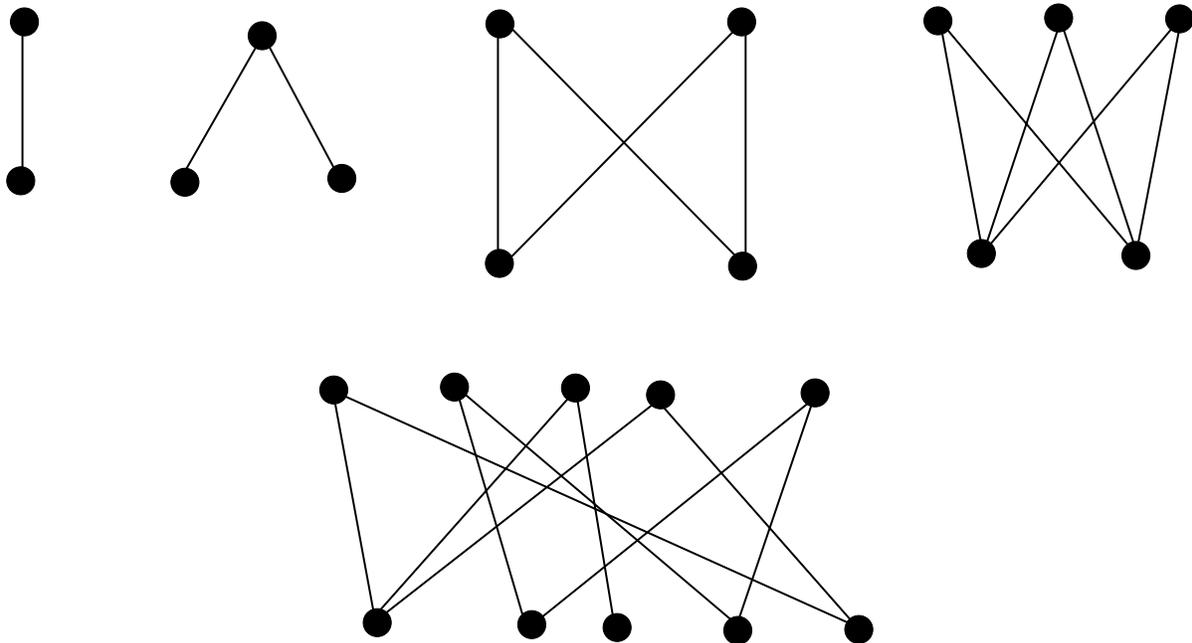
 $C_3$  $C_4$  $C_5$

- $Q_n$ : The  $n$ -cube.



- Bipartite Graphs:** A simple graph  $G$  is called **bipartite** if the vertex set  $V$  can be partitioned into two disjoint nonempty sets  $V_1$  and  $V_2$  such that every edge connects a vertex in  $V_1$  to a vertex in  $V_2$ .

Put another way, no edges in  $V_1$  are connected to each other, and no edges in  $V_2$  are connected to each other.



## Some Theorems

- **Theorem 1:** Let  $G = (V, E)$  be an undirected graph with  $e$  edges. Then

$$2e = \sum_{v \in V} \deg(v).$$

- **Proof:** Let  $X = \{(e, v) : e \in E, v \in V, e \text{ and } v \text{ are incident}\}$ . We will compute  $|X|$  in two ways. Each edge  $e \in E$ , is incident with exactly 2 vertices. Thus,

$$|X| = 2e.$$

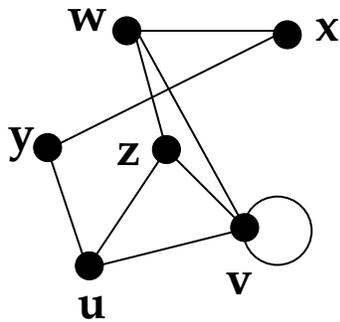
Also, each vertex  $v \in V$  is incident with  $\deg(v)$  edges. Thus, we have that

$$|X| = \sum_{v \in V} \deg(v).$$

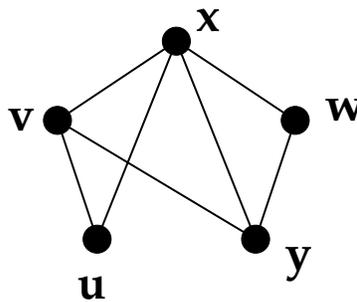
Setting these equal, we have the result ■

- **Corollary 2:** An undirected graph has an even number of vertices of odd degree.

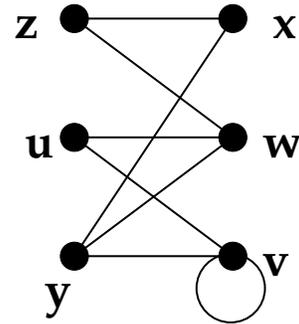
## Examples



$G_1$



$G_2$



$G_3$

Graph	$G_1$	$G_2$	$G_3$
$ E $	9	7	8
$\sum_{v \in V} deg(v)$	18	14	16

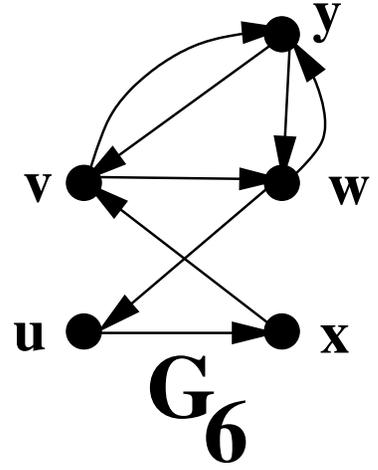
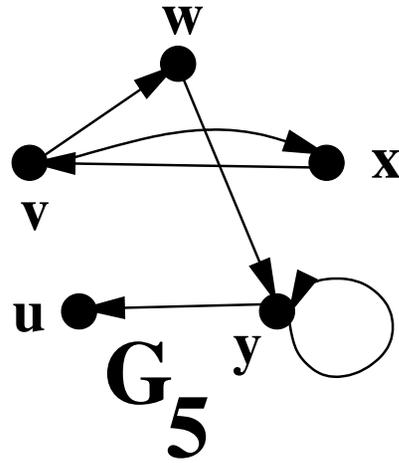
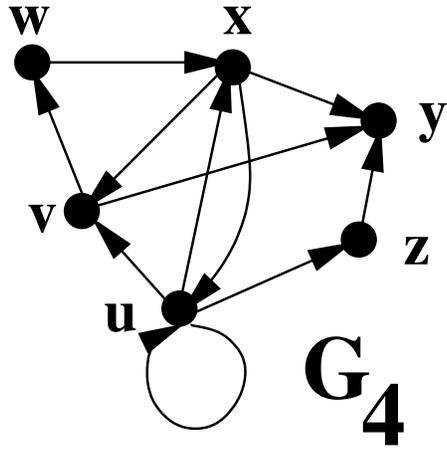
## Directed Graph Terminology

Let  $u$  and  $v$  be vertices in a directed graph  $G$ , and let  $e = (u, v)$  be an edge in  $G$ .

- $u$  is said to be **adjacent to**  $v$ .
- $v$  is said to be **adjacent from**  $u$ .
- $u$  is called the **initial vertex** of  $(u, v)$ .
- $v$  is called the **terminal** or **end vertex** of  $(u, v)$ .
- The **in-degree** of  $u$ , denoted by  $\deg^-(u)$ , is the number of edges in  $G$  which have  $u$  as their terminal vertex.
- The **out-degree** of  $u$ , denoted by  $\deg^+(u)$ , is the number of edges in  $G$  which have  $u$  as their initial vertex.
- **Theorem 3:** Let  $G = (V, E)$  be a directed graph. Then

$$\sum_{v \in V} \deg^-(v) = \sum_{v \in V} \deg^+(v) = |E|.$$

# Examples



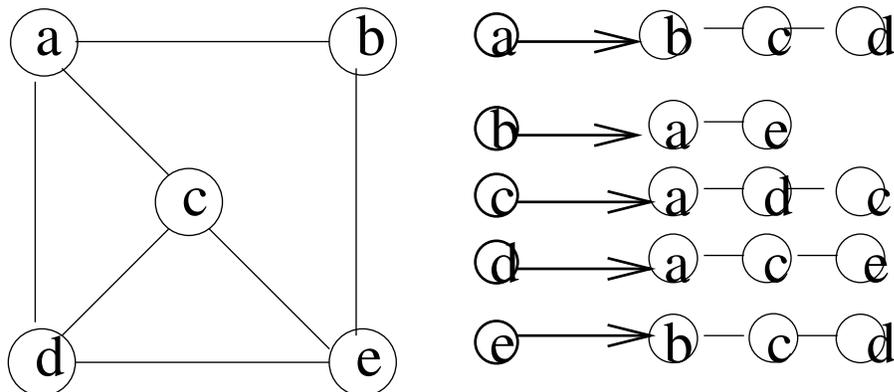
$G_4$		$G_5$		$G_6$	
$\text{deg}^-(u)=2$	$\text{deg}^+(u)=4$	$\text{deg}^-(u)=1$	$\text{deg}^+(u)=0$	$\text{deg}^-(u)=1$	$\text{deg}^+(u)=1$
$\text{deg}^-(v)=2$	$\text{deg}^+(v)=2$	$\text{deg}^-(v)=1$	$\text{deg}^+(v)=2$	$\text{deg}^-(v)=2$	$\text{deg}^+(v)=2$
$\text{deg}^-(w)=1$	$\text{deg}^+(w)=1$	$\text{deg}^-(w)=1$	$\text{deg}^+(w)=1$	$\text{deg}^-(w)=2$	$\text{deg}^+(w)=2$
$\text{deg}^-(x)=2$	$\text{deg}^+(x)=3$	$\text{deg}^-(x)=1$	$\text{deg}^+(x)=1$	$\text{deg}^-(x)=1$	$\text{deg}^+(x)=1$
$\text{deg}^-(y)=3$	$\text{deg}^+(y)=0$	$\text{deg}^-(y)=2$	$\text{deg}^+(y)=2$	$\text{deg}^-(y)=2$	$\text{deg}^+(y)=2$
$\text{deg}^-(z)=1$	$\text{deg}^+(z)=1$				

## Graph Representation

There are two common ways of representing  $G$ . Let  $G = (V, E)$  be a graph with  $n$  vertices and  $m$  edges.

### Adjacency Lists

- For each vertex  $v$  in  $G$ , we store a list of vertices adjacent to  $v$ .
- This is often implemented using linked lists.



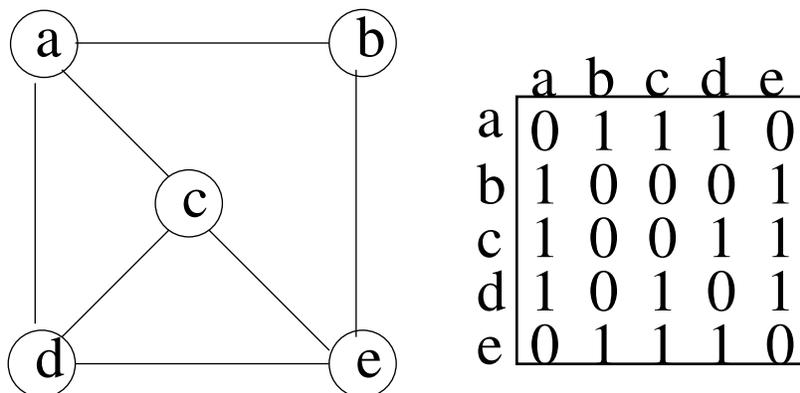
- For weighted graphs, an additional field can be stored in each node.
- The space required for storage is  $\Theta(n + 2m) = \Theta(n + m)$  for graphs, and  $\Theta(n + m) = \Theta(n + m)$  for digraphs.

## Adjacency Matrix

- Number the vertices  $1, 2, \dots, n$  in some arbitrary order.
- We use a  $n$  by  $n$  matrix  $M$  defined as

$$M(i, j) = \begin{cases} 1 & \text{if } (i, j) \text{ is an edge} \\ 0 & \text{if } (i, j) \text{ is not an edge} \end{cases}$$

- If  $G$  is weighted, we store the weights in the matrix. For non-adjacent vertices, we store  $\infty$ , or *MAX\_INT*.
- It is clear that this representation requires  $\Theta(n^2)$  space.

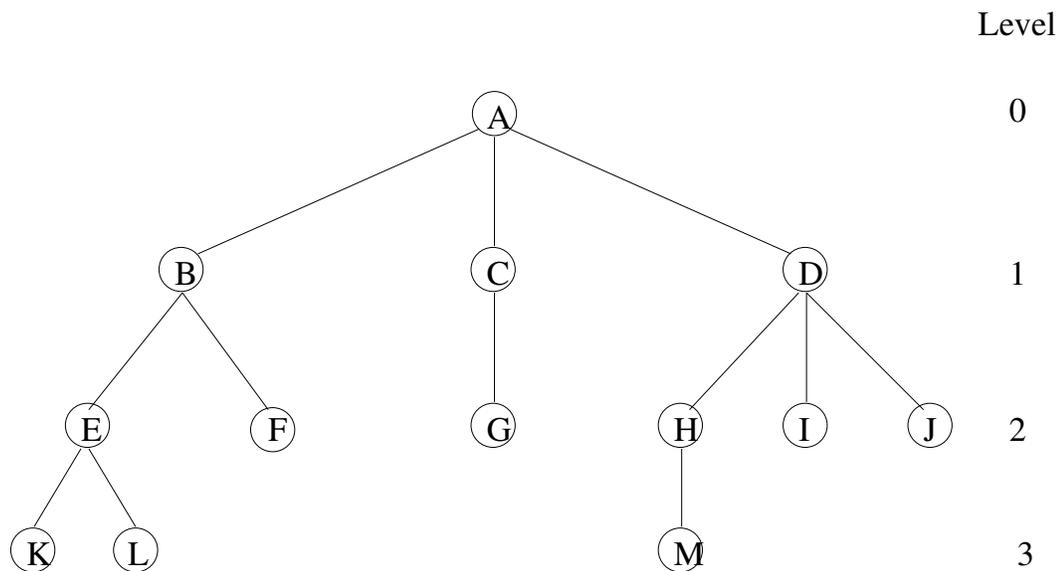


## Some Basic Graph Problems

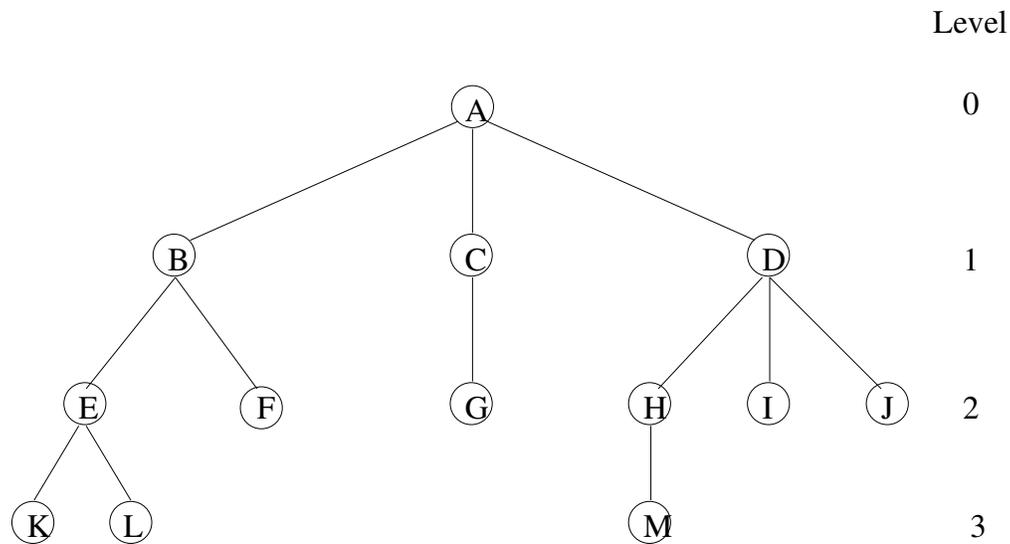
- Is there a path from A to B?
- CYCLES: Does the graph contain a cycle?
- CONNECTIVITY (SPANNING TREE): Is there a way connect the vertices?
- BICONNECTIVITY: Will the graph become disconnected if one vertex is removed?
- PLANARITY: Is there a way to draw the graph without edges crossing?
- SHORTEST PATH: What is the shortest way from A to B?
- LONGEST PATH: What is the longest way from A to B?
- MINIMAL SPANNING TREE: What is the best way connect the vertices?
- TRAVELING SALESMAN: What is the shortest route to connect the vertices without visiting the same vertex twice?

## (Rooted) Trees

- A **rooted tree** is a tree which has a specially designated vertex called the *root*.
- In rooted trees, vertices are called *nodes*.
- Each node contains some information and one or more links to other nodes further down the hierarchy. (Similar to nodes in a linked list.)
- For convenience, we can think of trees as acyclic digraphs in which every edge “points away from” the root.

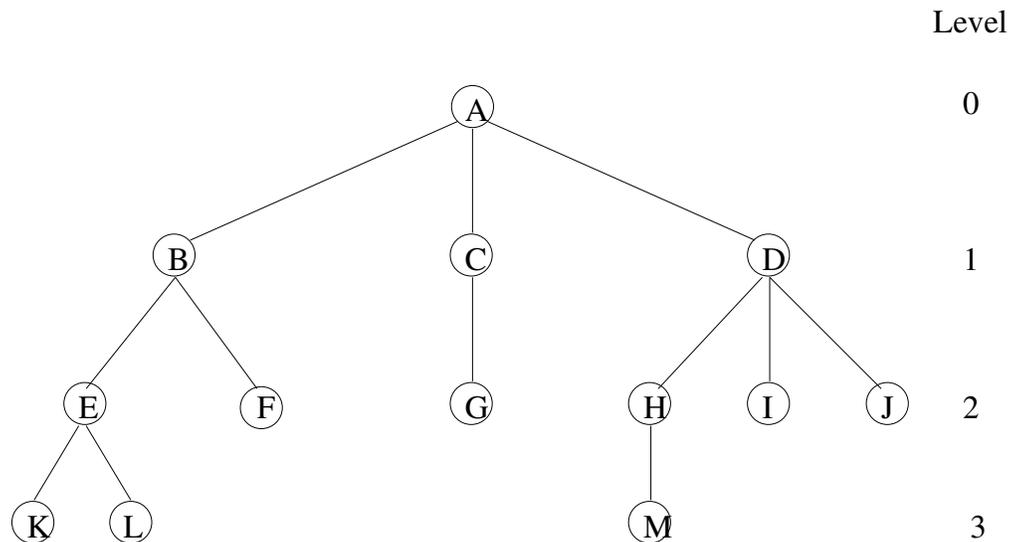


## Rooted Trees Terminology



- A node that is *adjacent from*  $v$  is a **child** of  $v$ .
- A node that is *adjacent to*  $v$  is a **parent** of  $v$ .
- Two nodes who have the same parent are **siblings**.
- A **leaf** or **external node** is a node with degree zero. (i.e. a node with no children)
- An **internal node** is a nonleaf node. (i.e. a node with at least one child)
- An **ancestor** of a node is any node on the path from the root to the node.
- A **descendant** of a node  $v$  is any node which has  $v$  as an ancestor.

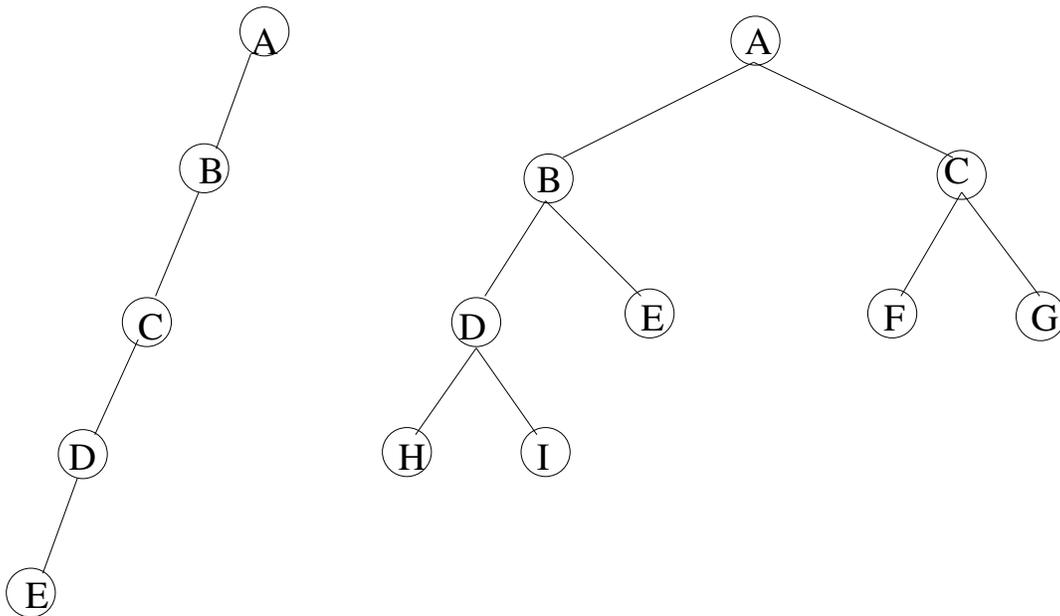
## Rooted Trees Terminology



- The **degree of a node** is the number of children the node has.
- The **depth** of a node is the length of the path from the root to the node.
- The **height** of a node is the maximum length of a path from the node to a leaf.
- The **height or depth** of a tree is the maximum height of any node in the tree.
- The **subtree rooted at  $x$**  is the subtree consisting of  $x$  and all of its descendants.

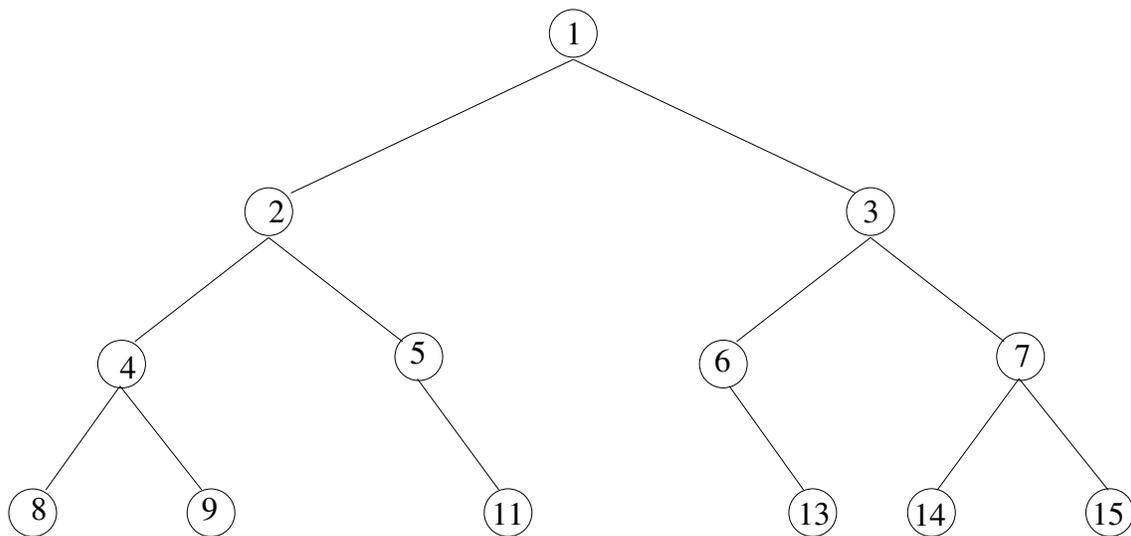
## Binary Tree

- A **binary tree** is a finite set of nodes that is either empty or consists of a root and two disjoint binary trees called the *left subtree* and the *right subtree*
- Put another way, a **binary tree** is a rooted tree such that each node has
  - no children,
  - a *right child*,
  - a *left child*, or
  - both a *right child* and a *left child*.



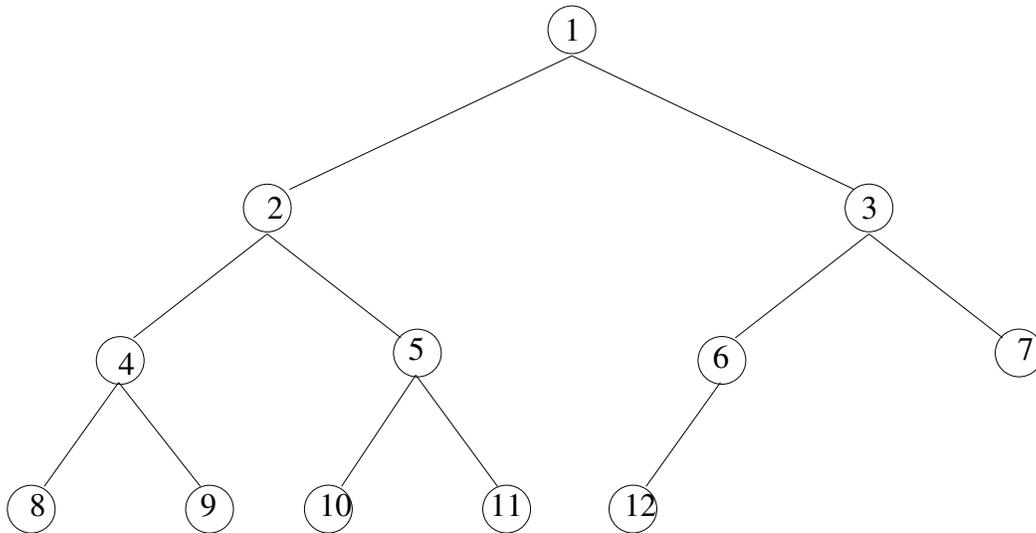
## Binary Trees: Definitions

- A **full binary tree** is one in which internal nodes completely fill every level, except possibly the last.
- A **complete binary tree** is a full binary tree where the internal nodes on the bottom level all appear to the left of the external nodes on that level.
- **Example:** A full binary tree

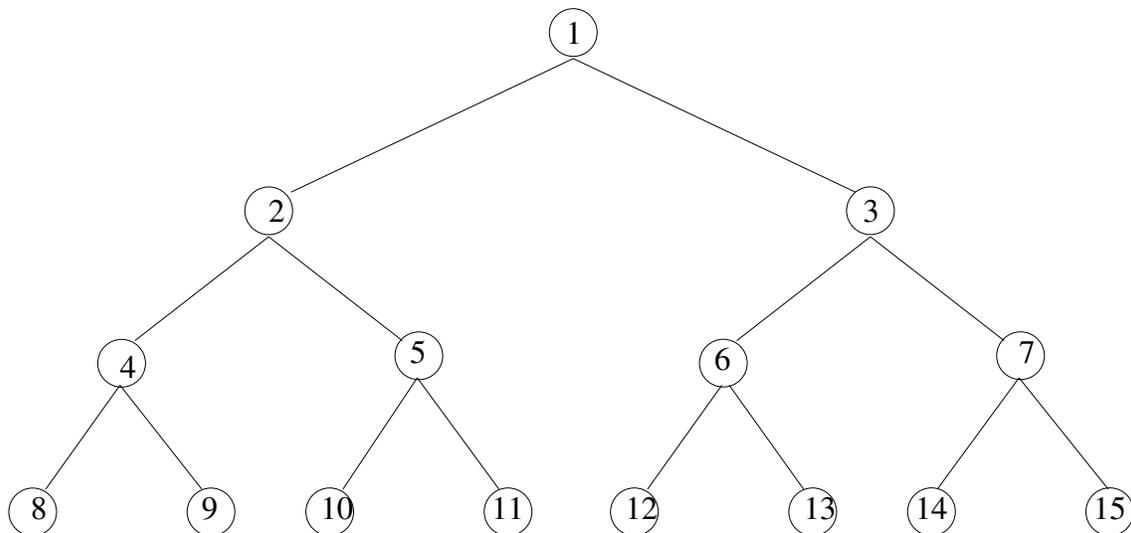


## Binary Tree Examples

- **Example:** A complete binary tree

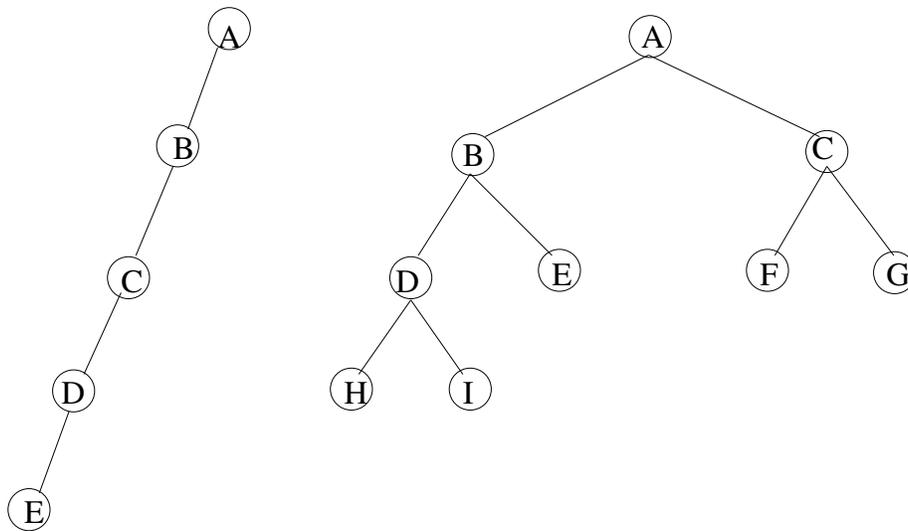


- **Example:** A totally complete binary tree



## Properties of Binary Trees

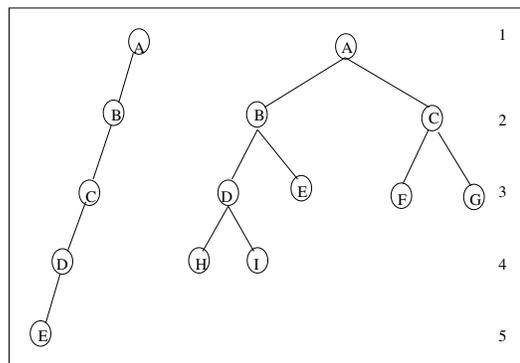
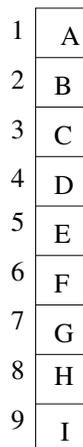
- The maximum number of nodes on level  $i$  of a binary tree is  $2^i, i \geq 1$ .
- The maximum number of nodes in a binary tree of depth  $k$  is  $2^{k+1} - 1, k \geq 1$ .
- There is exactly one path connecting any two nodes in a tree
- A tree with  $n$  nodes has  $n - 1$  edges
- The height of a full binary tree with  $n$  internal nodes is about  $\log_2 n$ .



## Binary Tree Representation: Arrays

Let  $G$  be a tree of height  $\log_2 n$  with  $m$  nodes, where  $m \leq n$ . We can represent  $G$  with an array  $A$  of size  $n$ .  $A[1]$  is the root, and given a node with index  $i$ , we can find the index of parents and children as follows:

- $parent(i) = \begin{cases} \lfloor i/2 \rfloor & \text{if } i \neq 1 \\ \text{undefined} & \text{if } i = 1 \end{cases}$
- $left(i) = \begin{cases} 2i & \text{if } 2i \leq n \\ \text{undefined} & \text{if } 2i > n \end{cases}$
- $right(i) = \begin{cases} 2i + 1 & \text{if } 2i + 1 \leq n \\ \text{undefined} & \text{if } 2i + 1 > n \end{cases}$

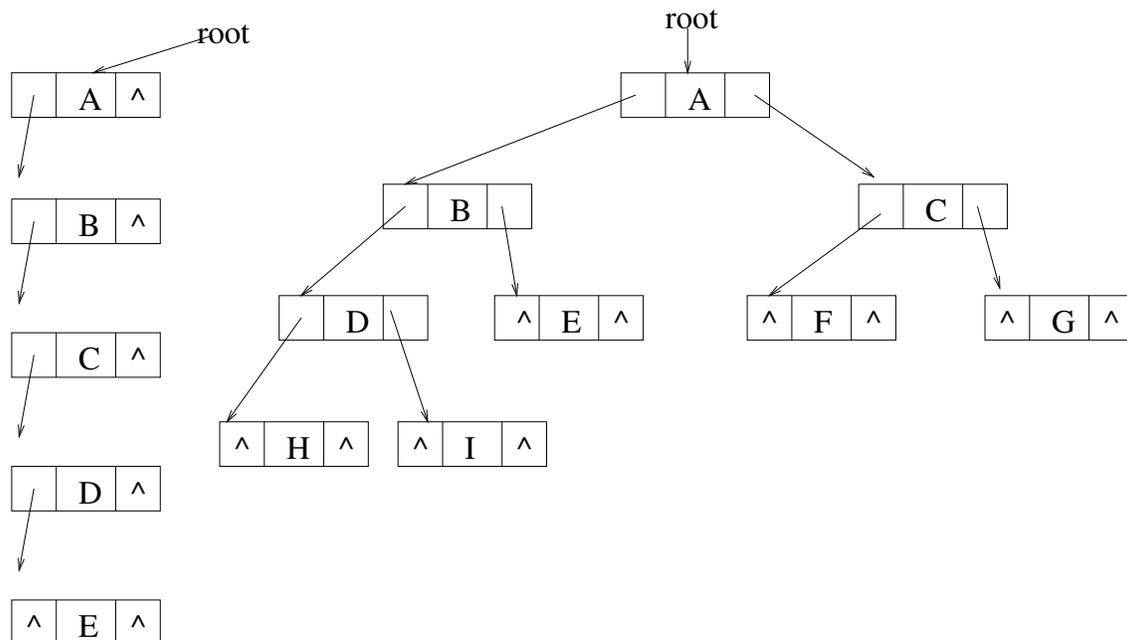


## Binary Tree Representation: Linked Lists

- We define a tree node by

```
struct treenode{
    int data;
    treenode *left_child;
    treenode *right_child;
};
```

- We find children by following the pointers.
- Parents are harder to find, unless we use doubly linked lists.



## Binary Tree Traversals

- When we visit each node in the tree exactly once, we say we have **Traversed** the tree.
- A full traversal produces a linear order of the information in a tree.
- There are several ways to traverse a tree.
  - **preorder**: visit a node, then traverse its left subtree, and then traverse its right subtree.
  - **inorder**: traverse the left subtree, visit the node and then traverse its right subtree
  - **postorder**: first traverse the left subtree, traverse the right subtree, and then visit the node.
- There is a natural correspondence between these traversals and producing the prefix, infix and postfix form of an expression.

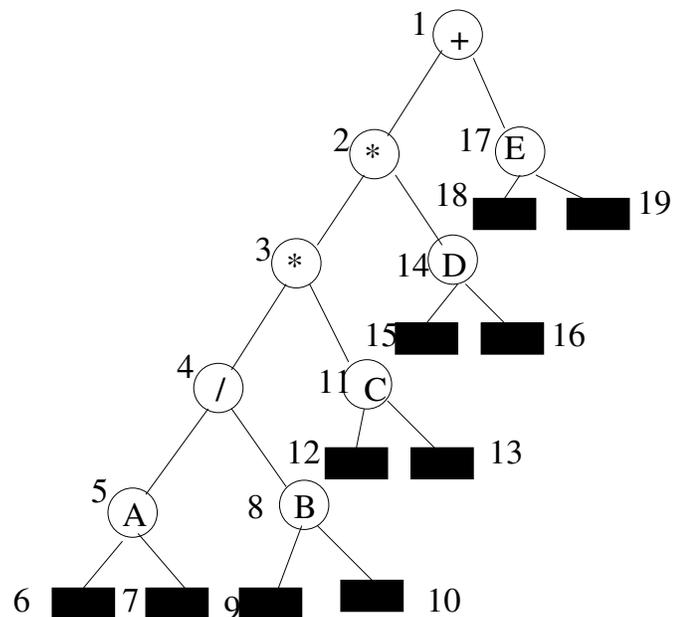
## Tree Traversal

- **preorder:** visit a node, then traverse its left subtree, and then traverse its right subtree.
- **inorder:** traverse the left subtree, visit the node and then traverse its right subtree.
- **postorder:** first traverse the left subtree, traverse the right subtree.

Preorder: + \* \* / A B C D E

Inorder: A / B \* C \* D + E  
 infix form of the expression

Postorder: A B / C \* D \* E +



## Tree Traversal: Implementation

- Assume we have used a linked list to implement a tree.

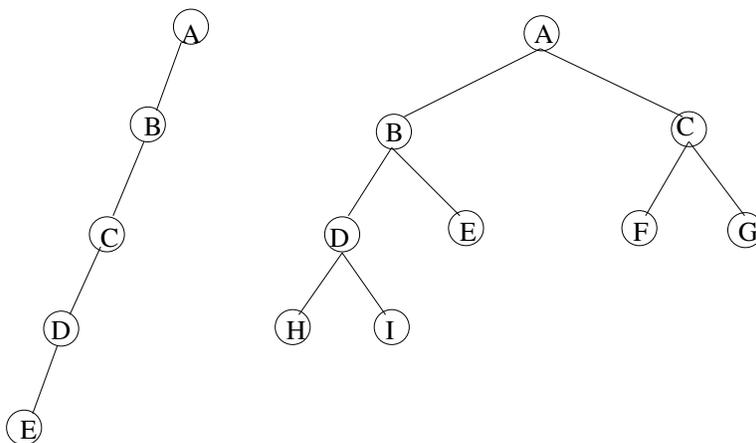
```
struct tree_node{
    int data;
    tree_node *left_child;
    tree_node *right_child;
};
```

- Assume we have a pointer to the root node.
- From this, we can traverse the tree with any of the methods.

## Preorder Traversal

Visit a node, then traverse its left subtree, and then traverse its right subtree.

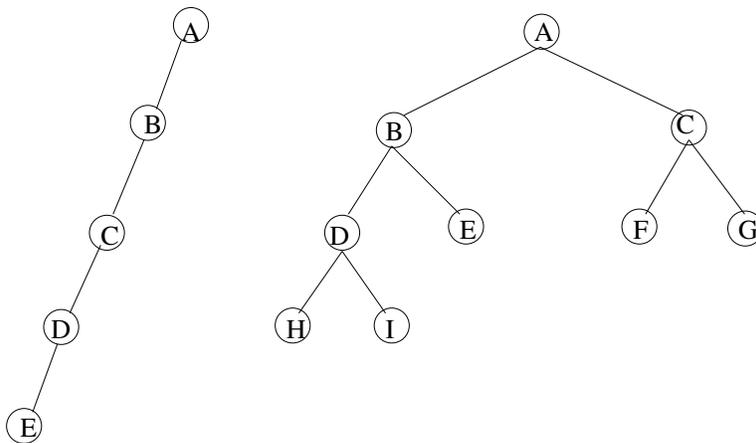
```
void PreOrderTraversal (treenode *ptr) {  
    if (ptr!=0) {  
        cout << ptr->data;  
        PreOrderTraversal (ptr->left);  
        PreOrderTraversal (ptr->right);  
    }  
}
```



## Postorder Traversal

First traverse the left subtree, traverse the right subtree, and finally visit the node.

```
void PostOrderTraversal(treenode *ptr) {  
    if (ptr!=0) {  
        PostOrderTraversal(ptr->left);  
        PostOrderTraversal(ptr->right);  
        cout << ptr->data;  
    }  
}
```



## Inorder Traversal

Traverse the left subtree, visit the node and then traverse its right subtree.

```
void InOrderTraversal (treenode *ptr) {  
    if (ptr!=0) {  
        InOrderTraversal (ptr->left);  
        cout << ptr->data;  
        InOrderTraversal (ptr->right);  
    }  
}
```

