

## Introduction to Recursion

- A subroutine/function is called *recursive* if it calls itself.
- If a subroutine/function simply called itself as a part of its execution, it would result in infinite recursion. This is a bad thing.
- Therefore, when using recursion, one must ensure that at some point, the subroutine/function terminates without calling itself.
- **Example:** The factorial function  $n!$  can be implemented recursively.

```
int factorial(int n) {  
    if (n<=1)  
        return 1;  
    else  
        return n*factorial(n-1);  
}
```

- What ensures that the function *factorial* terminates?

## Where is Recursion Seen/Used?

- We occasionally see recursion in the “real” world:
  - Russian Matryoshka (nested dolls)
  - Two almost parallel mirrors
  - A video camera pointed at the monitor
- More importantly for us, it is useful for some data structures and the associated algorithms.
- Some problems can be solved by combining solutions of smaller instances of the given problem. Recursion can be useful in these cases.
- **Examples:**
  - Binary Search
  - Mergesort
  - Computing  $n!$
  - Many *divide-and-conquer* algorithms

## Recursion Example

- Suppose we want to implement a subroutine **CountDown**( $n$ ) which outputs the integers from  $n$  down to 1, where  $n > 0$ .
- **Example:** The call **CountDown**(5) results in output '5 4 3 2 1'.
- The best way to implement this is a loop:

```
void CountDown(int n) {  
    for (i=n; i>0; i--)  
        cout<<i<<" ";  
    cout<<"\n";  
}
```

- Of course, if we did this, we wouldn't learn anything about recursion. So, let's consider how to do it with recursion.

## Recursive **CountDown**( $n$ )

- How can we think of this subroutine recursively?
- **CountDown**( $n$ ) outputs  $n$  followed by the numbers from  $n - 1$  down to 1.
- The numbers  $n - 1$  down to 1 are the output from **CountDown**( $n - 1$ ).
- Thus, the output from **CountDown**( $n$ ) is  $n$  followed by the output from **CountDown**( $n - 1$ ).
- Thus, we can write the function recursively as follows:

```
void CountDown(int n) {  
    cout<<n<<" ";  
    CountDown(n-1);  
}
```

- Nice, but something is wrong here. What is it?

## Recursive Countdown( $n$ ): Error

- The problem is, Countdown never stops:

Execute	Output	Then Execute
<b>CountDown(3)</b>	3	<b>CountDown(2)</b>
<b>CountDown(2)</b>	2	<b>CountDown(1)</b>
<b>CountDown(1)</b>	1	<b>CountDown(0)</b>
<b>CountDown(0)</b>	0	<b>CountDown(-1)</b>
<b>CountDown(-1)</b>	-1	<b>CountDown(-2)</b>
⋮	⋮	⋮

- The problem is not with the recursion, but with our logic. We are supposed to stop printing when  $n = 1$ , but we didn't take that into account.
- To fix this, we modify it so that a call to **CountDown(0)** produces no output and does not call **CountDown** again.
- Calls to **CountDown( $n$ )** when  $n < 0$  should produce no output, either.
- We can take care of both of these problems at once.

## Recursive **CountDown**( $n$ ): Fixed

- The following version of **CountDown**( $n$ ) is correct:

```
void CountDown(int n) {  
    if(n>0) {  
        cout<<n<<" ";  
        CountDown(n-1);  
    }  
}
```

- Now, **CountDown**( $n$ ) does exactly what we want when  $n > 0$ .
- It is not too difficult to see that if  $n \leq 0$ , the subroutine **CountDown**( $n$ ) does nothing.

## Making Recursion Work

- In order for a recursive routine to work properly, it must be defined so that it will terminate eventually.
- Thus, a proper recursive definition has both of the following:
  - *base case(s)*: A case which is solved non-recursively. In other words, when a routine gets to the base case, it does not call itself again. This is also called a *stopping case* or *terminating condition*.
  - *inductive case(s)*: A recursive rule for all cases except the base case. An inductive case should always progress toward the base case.
- **Example:** For the routine **CountDown**( $n$ ):
  - *base case*: When  $n \leq 0$ , **CountDown**( $n$ ) does nothing.
  - *inductive case*: When  $n > 0$ , **CountDown**( $n$ ) outputs  $n$ , and executes **CountDown**( $n - 1$ ). Notice, the second call is closer to the base case.

## Example: Factorial

- Recursive definition:

$$n! = \begin{cases} 1 & \text{when } n = 1 \\ n \times (n - 1)! & \text{otherwise} \end{cases}$$

- **Example:**

$$1! = 1$$

$$2! = 2 \times (1)! = 2 \times 1 = 2$$

$$3! = 3 \times (2)! = 3 \times 2 = 6$$

$$4! = 4 \times (3)! = 4 \times 6 = 24$$

- In general, when  $n > 1$ ,

$$n! = n * (n - 1) * (n - 2) * (n - 3) * \dots * 1$$

- In C++, we can implement this as:

```
int factorial(int n) {
    if(n==1)
        return 1;
    else
        return n*factorial(n-1);
}
```



## Recursive Problem Solving

In general, we can solve a problem with recursion if we can:

- Find one or more simple cases of the problem that can be solved directly.
- Find a way to break up the problem into smaller instances of the same problem.
- Find a way to combine the smaller solutions.

## Recursion and Memory

- Each call of a function generates an instance of that function
- An instance of a function contains
  - memory for each parameter (input)
  - memory for each local variable
  - memory for the return value

This chunk of memory is referred to as an *activation record*.

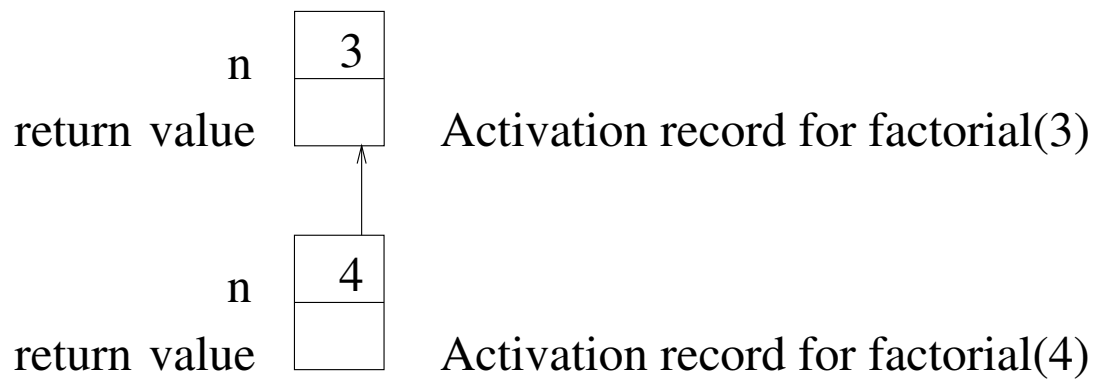
- Thus, a recursive function that calls itself  $n$  times must allocate  $n$  activation records.
- Usually, an iterative implementation will require on the order of one activation record, plus a constant amount of space.
- This is the reason recursion is avoided when possible. In fact, good compilers remove recursion whenever possible.

## Example:

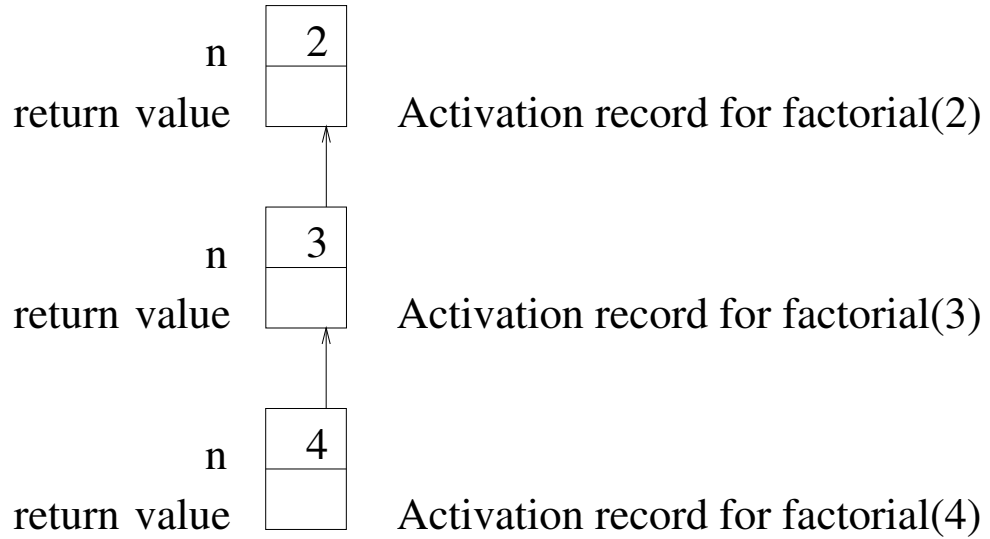
- **factorial(4)**



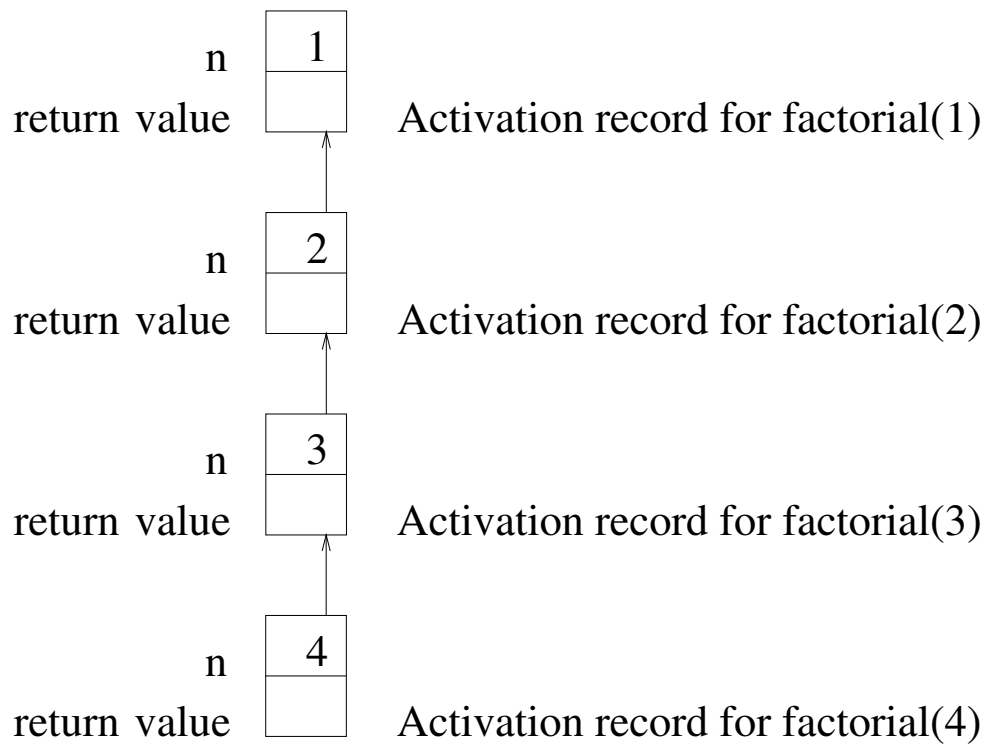
- **factorial(4): call to factorial(3)**



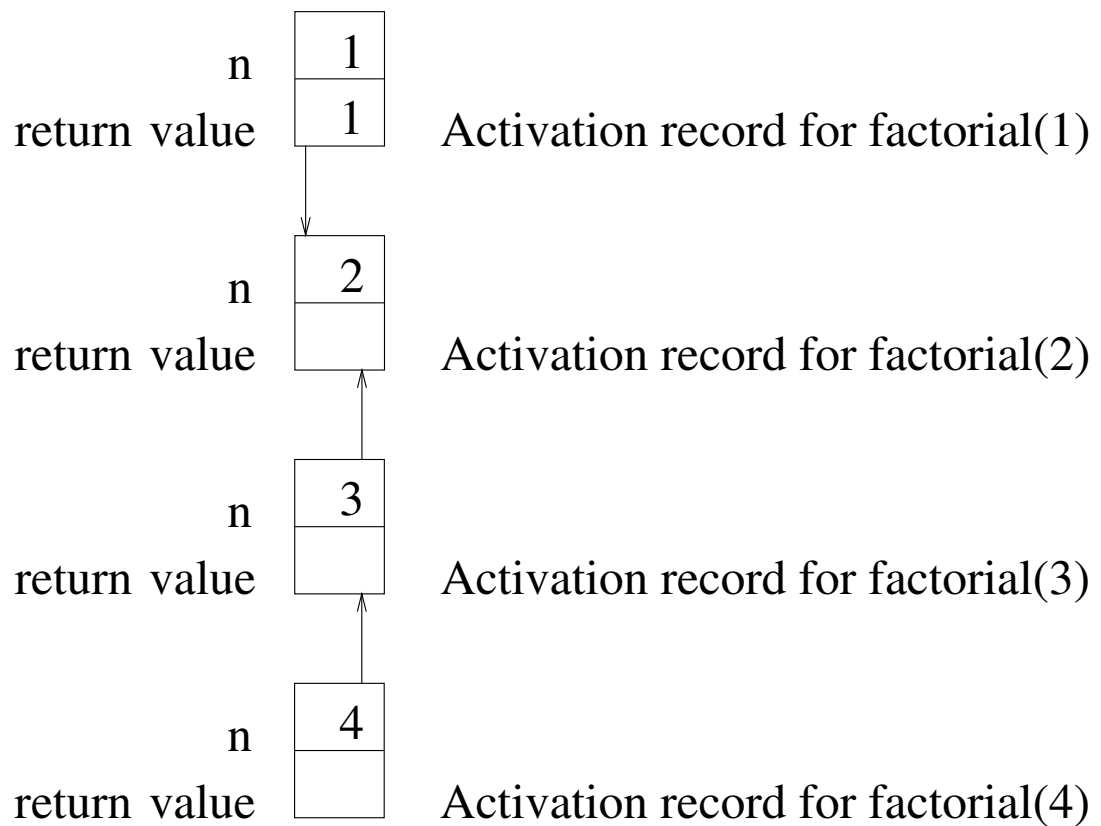
- **factorial(4): call to factorial(2)**



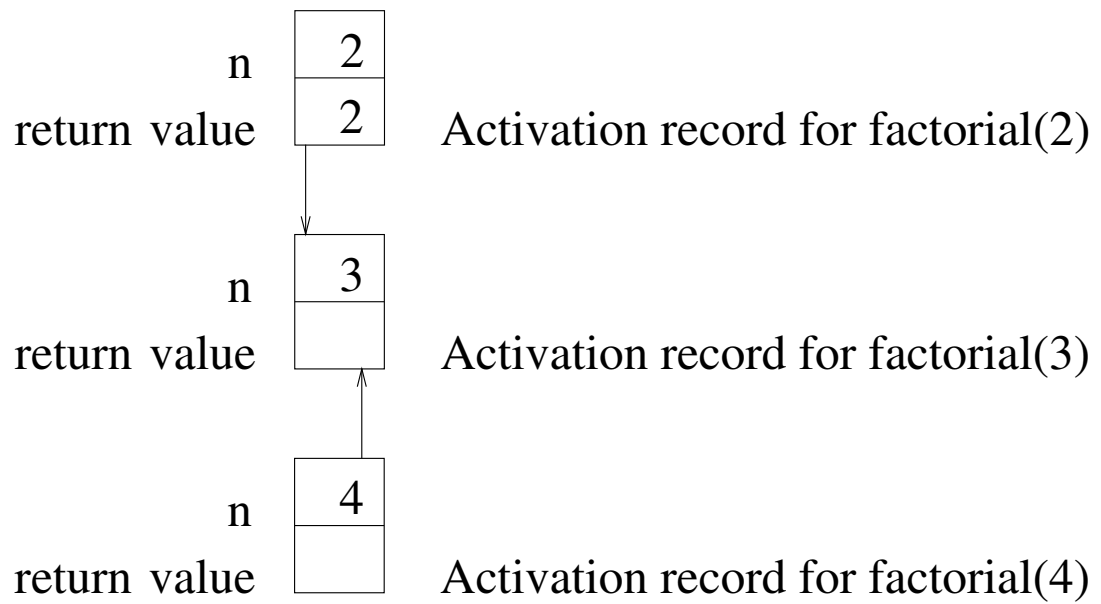
- **factorial(4): call to factorial(1)**



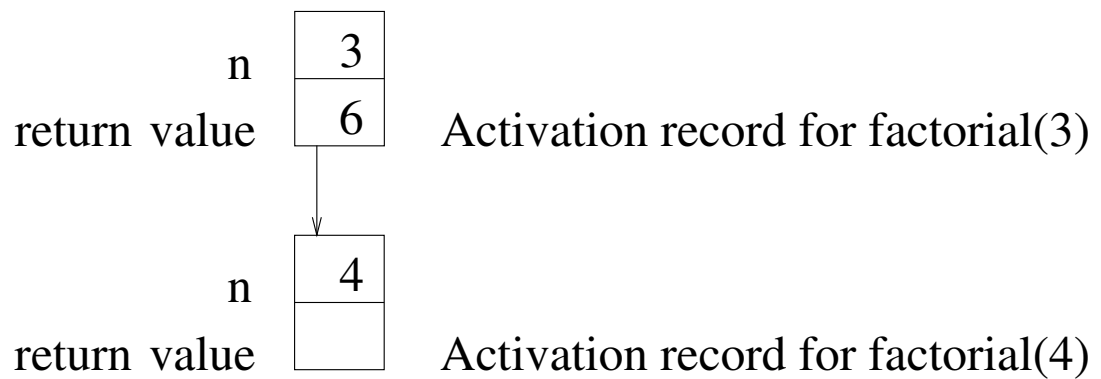
- **factorial(4):** factorial(1) is the base case, so it returns '1'.



- **factorial(4):** factorial(2) now returns '2'.



- **factorial(4):** factorial(3) now returns '6'.



- **factorial(4):** returns '24'. This was the original function call, so the execution is finished.



## The Run-Time Stack

- In order to support recursive function calls, the run-time system treats memory as a stack of activation records
- Computing **factorial**( $n$ ) recursively requires the allocation of  $n$  activation records on the stack.

- What if we have infinite recursion:

```
int infiniteRecursion(int n) {  
    if (n==0)    return 1;  
    else        return infiniteRecursion(n);  
}
```

The value of  $n$  never reaches zero, so the function is called, and records are pushed onto the stack, until the system runs out of memory.

- Even if our recursion is not infinite, it is possible that the recursion runs too deep, since computers only have a finite amount of memory.



## Recursion and Iteration

Recursive functions can be translated to functions that use loops.

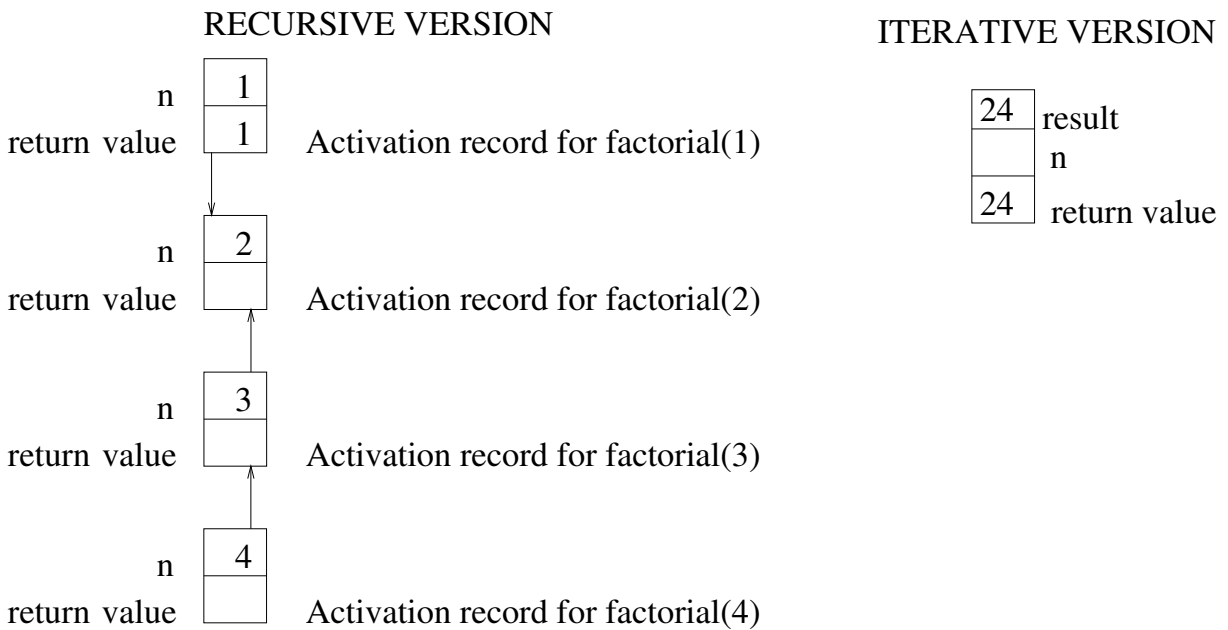
- **Recursive:**

```
int factorial(int n) {
    if(n==1)
        return 1;
    else
        return n*factorial(n-1);
}
```

- **Iterative:**

```
int factorial (int n) {
    int result=1;
    while (n>1) {
        result = result * n;
        n--;
    }
    return result;
}
```

# Memory Usage?



Notice that for input  $n$ , the recursive implementation needs to allocate  $2n$  integers, while the iterative implementation needs only 3.

## Recursion: Advantages

- Recursion often mimics the way we think about a problem, thus the recursive solutions can be very intuitive to program. For example, binary search is very similar to the way we search through the phone book.
- Often recursive routines to solve problems can be much shorter than iterative (non-recursive) routines. This can make the code easier to understand, modify, and/or debug.
- Many of the ‘best’ known algorithms for many problems are based on a divide-and-conquer approach:
  - Divide the problem into a set of smaller problems
  - Solve each small problem separately
  - Put the results back together for the overall solution
- These divide-and-conquer techniques are often best thought of in terms of recursive functions. (e.g. Quicksort and Mergesort)

## Recursion: Disadvantages

- Each time one subroutine calls another, the computer's operating system must take care of a number of things:
  - Recording how to re-start the calling subroutine later on,
  - Passing the parameters from the calling subroutine to the called subroutine (often by pushing the parameters onto a stack controlled by the system)
  - Setting up space for the called subroutine's local variables
  - Recording where the calling subroutine's local variables are stored
- Doing all this requires time and memory.
- Thus a routine which makes many recursive calls can require a lot of time and memory - more than a non-recursive solution might.

## Common Recursion Errors

- Forgetting or having incomplete base cases.
- **Example:** This routine goes into infinite recursion if given a negative number for  $N$ .

```
void Sum1toN(int N)
{
    if (N == 0) return(0);
    else return(N + Sum1toN(N-1));
}
```

- Getting things backwards.
- **Example:** One of these routines prints from 1 up to  $N$ , the other from  $N$  down to 1. Which is which?

```
void PrintN(int N) {
    if (N > 0) {
        PrintN(N-1);
        cout << N << ", ";
    }
}

//-----
void NPrint(int N) {
    if (N > 0) {
        cout << N << ", ";
        NPrint(N-1);
    }
}
```

## Example 2: Fibonacci Numbers

- The Fibonacci numbers are a sequence of integers which are of interest in mathematical and computing applications
- They are given by:

$$\text{Fib}(n) = \begin{cases} 0 & \text{if } n=0 \\ 1 & \text{if } n=1 \\ \text{Fib}(n-1) + \text{Fib}(n-2) & \text{if } n > 1 \end{cases}$$

- Thus, the first few are:

$$\text{Fib}(0) = 0$$

$$\text{Fib}(1) = 1$$

$$\text{Fib}(2) = \text{Fib}(0) + \text{Fib}(1) = 0 + 1 = 1$$

$$\text{Fib}(3) = \text{Fib}(1) + \text{Fib}(2) = 1 + 1 = 2$$

$$\text{Fib}(4) = \text{Fib}(2) + \text{Fib}(3) = 1 + 2 = 3$$

$$\text{Fib}(5) = \text{Fib}(3) + \text{Fib}(4) = 2 + 3 = 5$$

$$\text{Fib}(6) = \text{Fib}(4) + \text{Fib}(5) = 3 + 5 = 8$$

$$\text{Fib}(7) = \text{Fib}(5) + \text{Fib}(6) = 5 + 8 = 13$$

etc.

- We will consider one iterative solution and one recursive solution to calculate Fib(N)

## Iterative Fibonacci Routine

- We can calculate the Fibonacci numbers iteratively by starting with  $\text{Fib}(0)=0$  and  $\text{Fib}(1)=1$ , and then working forward until we reach  $\text{Fib}(N)$ .
- Since  $\text{Fib}(x) = \text{Fib}(x-1) + \text{Fib}(x-2)$ , we must keep track of the previous two numbers as we go.

```
int Fib(int N) {
    int fib, fibm1, fibm2, index;
    if (N <= 1) return(N);
    else {
        fibm2 = 0;
        fibm1 = 1;
        index = 1;
        while (index < N) {
            fib = fibm1 + fibm2;
            fibm2 = fibm1;
            fibm1 = fib;
            index = index + 1;
        }
        return(fib);
    }
}
```

## Recursive Fibonacci Solution

- While the iterative solution started from  $\text{Fib}(0)$  and  $\text{Fib}(1)$  and worked forward, the recursive solution starts from  $N$  and works backward.
- We use  $\text{Fib}(N) = \text{Fib}(N-1) + \text{Fib}(N-2)$  as before.

```
int Fib(int N) {
    if (N <= 1)
        return(N);
    else
        return(Fib(N-1) + Fib(N-2));
}
```

- As you can see, the recursive routine is much simpler to program.
- If you try both programs for assorted values of  $N$ , however, you will also see that the iterative routine is much more efficient.



## **Recursion: Conclusion (1)**

- A recursive function is one that invokes another instance of itself.
- Recursion is an alternative to iteration.
- Recursion can often provide a more elegant solution than iteration.
- Each instance of a function has its own set of local variables and parameters.
- Recursive solutions are often less efficient, in terms of time and space, than an iterative solution.
- Some data structure problems are difficult to solve without recursion. (particularly when the data structure is recursive in its definition).

## **Recursion: Conclusion (2)**

- Recursion can be used when all of the following conditions can be satisfied:
  - There exists one or more simple solutions to the problem.
  - Other cases of the problem can be expressed in terms of one or more reduced cases of the problem (which are closer to the known simple solutions).
  - Eventually the problem can be reduced to one of the simple solutions.
- When designing a recursive algorithm it is important to ensure that the recursion will eventually reach a terminating condition and stop.